



INFORMATION DISCLOSURE STATEMENT

Applicant : Lashinski et al.
App. No. : 10/634,653
Filed : August 5, 2003
For : ADJUSTABLE TRANSLUMINAL
ANNULOPLASTY SYSTEM
Examiner : Unknown
Group Art Unit : 3731

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 117 references that are of record in U.S. patent application No. 09/968,272, filed October 1, 2001, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/17/04

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
MITRAL.1CP3C2APPLICATION NO.
10/634,653INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Lashinski et al.FILING DATE
August 5, 2003GROUP
3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,128,100	12/1978	Wendorff			
	2	4,917,698	04/1990	Carpentier et al.			
	3	5,006,106	04/1991	Angelchik			
	4	5,041,130	08/1991	Cosgrove et al.			
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	7	5,099,838	03/1992	Bardy			
	8	5,104,407	04/1992	Lam et al.			
	9	5,163,955	11/1992	Love et al.			
	10	5,165,403	11/1992	Mehra			
	11	5,201,880	04/1993	Wright et al.			
	12	5,290,300	03/1994	Cosgrove et al.			
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	21	5,702,343	12/1997	Alferness			
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	26	5,935,081	08/1999	Kadhiresan			
	27	5,961,440	10/1999	Schweich, Jr. et al.			

EXAMINER

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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	30	6,001,127	12/1999	Schoon et al.			
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	36	6,077,214	06/2000	Mortier et al.			
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	38	6,095,968	08/2000	Snyders			
	39	6,110,100	08/2000	Talpade			
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	55	6,210,432 B1	04/2001	Solem et al.			
	56	6,217,610 B1	04/2001	Carpentier et al.			
	57	6,221,103 B1	04/2001	Melvin			
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	60	6,250,308 B1	06/2001	Cox			
	61	6,260,552 B1	07/2001	Mortier et al.			
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	75	60/213,782	06/2000	Cohn et al.			
	76	60/242,466	10/2000	Streeter			
	77	60/266,766	02/2001	Cohn et al.			
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	82	60/280,038	03/2001	Cohn et al.			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	87	WO 91/19465	12/1991	PCT				
	88	WO 96/34211	10/1996	PCT				
	89	WO 98/29041	07/1998	PCT				
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
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	104	Kurlansky et al., <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , October 1987, <u>The Annals of Thoracic Surgery</u> , pg. 404-406
	105	Alonso-Lej, <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , September 1988, <u>The Annals of Thoracic Surgery</u> , Letter to the Editor, pg. 368-369
	106	Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <u>The Society of Thoracic Surgeons</u> , pg. 600-604
	107	McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <u>J Thorac Cardiovasc Surg</u> , pg. 578-587
	108	Farrar et al., <i>A New Skeletal Muscle Linear-pull Energy Converter as a Power Source for Prosthetic Circulatory Support Devices</i> , September 1992, <u>The Journal of Heart and Lung Transplantation</u> , pg. S341-S349
	109	Bolling et al., <i>Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy</i> , April 1995, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 676-683
	110	Bach et al., <i>Early improvement in congestive heart failure after correction of secondary mitral regurgitation in end-stage cardiomyopathy</i> , June 1995, <u>American Heart Journal</u> , pg. 1165-1170
	111	Bearnson et al., <i>Development of a Prototype Magnetically Suspended Rotar Ventricular Assist Device</i> , <u>ASAIO Journal</u> 1996, pg. 275-280
	112	<i>Thoratec Ventricular Assist Device System</i> , 1996 Brochure
	113	McCarthy et al., <i>Early Results with Partial Left VEntriculectomy</i> , May 1997, Presented at the 77 th Annual Meeting of the American Association of thoracic Surgeons
	114	Buchanan et al., <i>Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs</i> , 1998, <u>Veterinary Surgery Vol. 27</u> , pg. 182-193
	115	Yamani et al., <i>Surgical Treatment of Chronic Heart Failure</i> , 2000, <u>Congestive Heart Failure Second Edition</u> , pg 767-784
	116	Bristow et al., <i>Heart Failure Management Using Implantable Devices for Ventricular Resynchronization: Comparison of Medical Therapy, Pacing, and Defibrillation in Chronic Heart Failure (COMPANION) Trial</i> , September 2000, <u>Journal of Cardiac Failure</u> , Vol. 6, No. 3, pg 276-285
	117	Smolens et al., <i>Mitral Valve Repair in Heart Failure</i> , 2000, <u>European Journal of Heart Failure</u> 2, pg. 365-371

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